

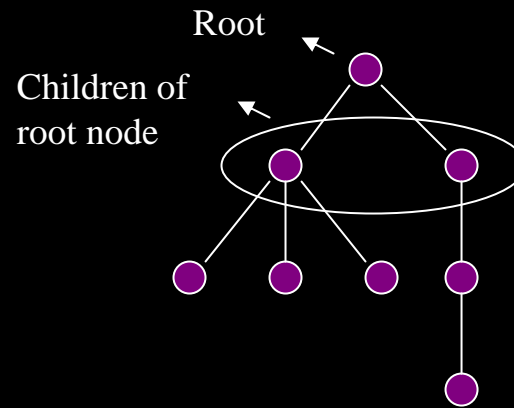
# Minimum Spanning Trees

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What is a MST (Minimum Spanning Tree) and how to find it with Prim's algorithm and Kruskal's algorithm

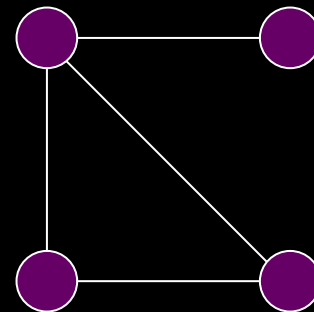
# Tree

What is a tree?



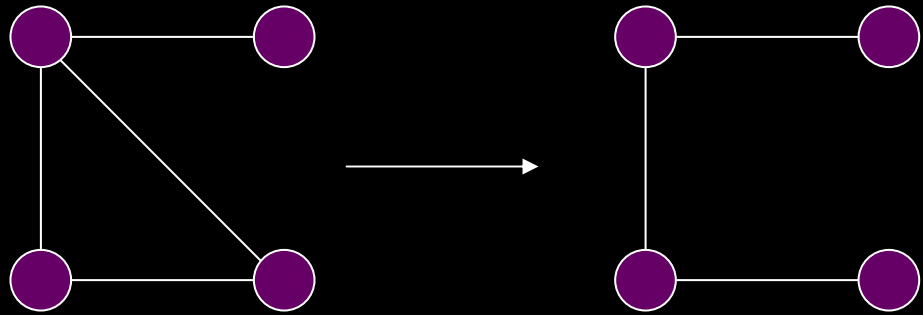
# Spanning Tree

- Find a subgraph with minimum amount of edges.
- There must be a path between every pair of vertices.



# Spanning Tree

Answer:



- This is called a spanning tree

# Spanning Tree

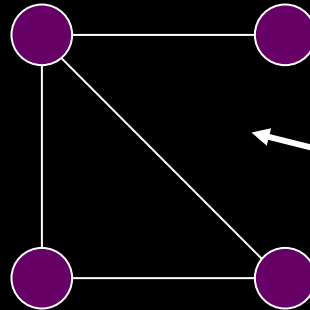
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What is a spanning tree?

- Contains all the vertices of the graph and some or all of the edges
- Path from any node to any other node

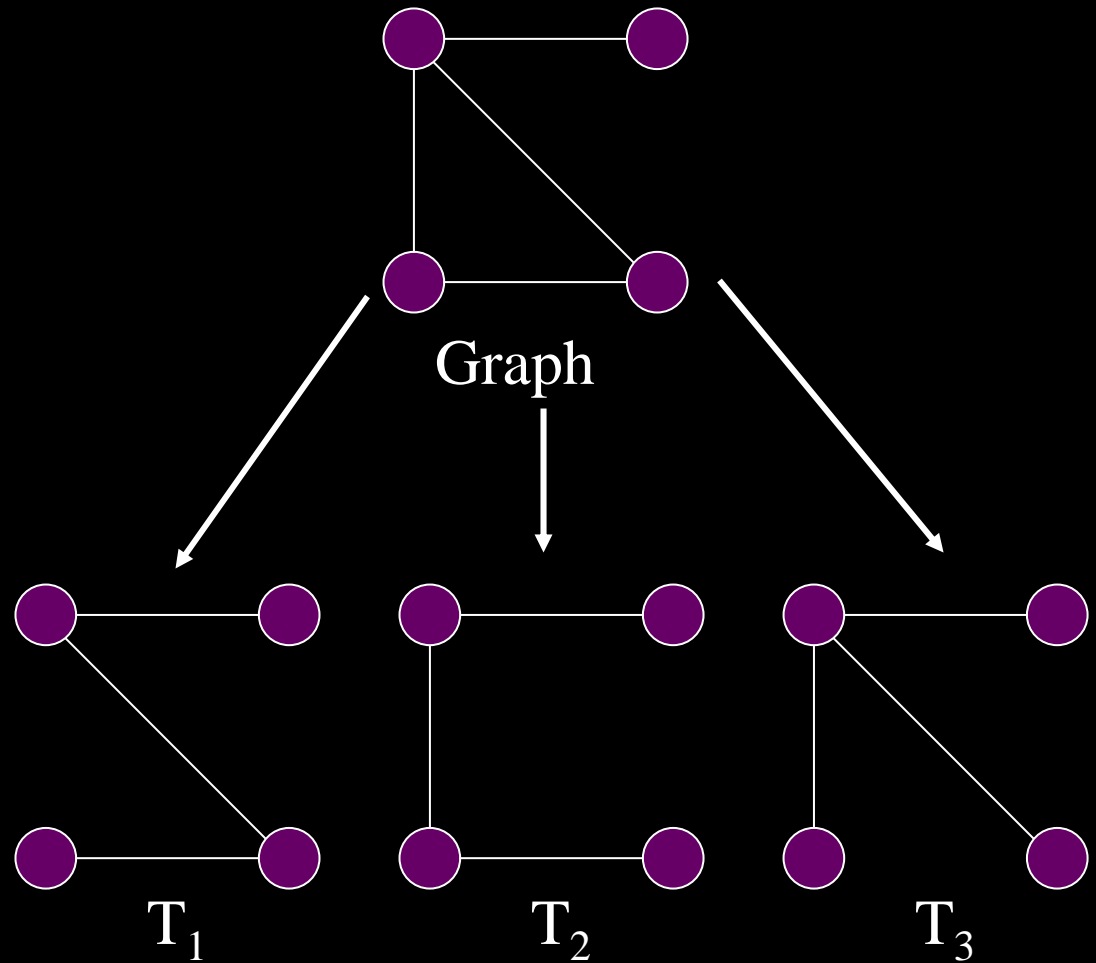
# Spanning Tree

- A graph can have lots of spanning trees



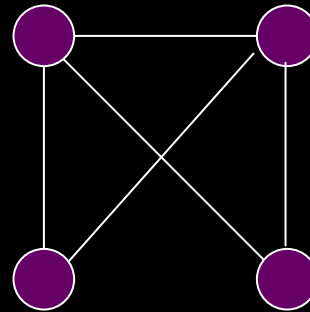
This graph has  
3 different  
spanning trees

# Spanning Tree



# Spanning Tree

- How many spanning trees does this graph have?

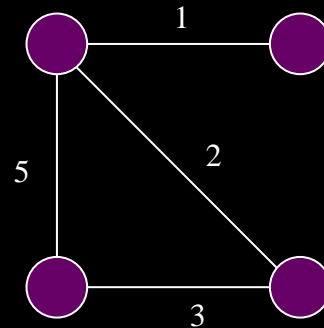


Answer: 8

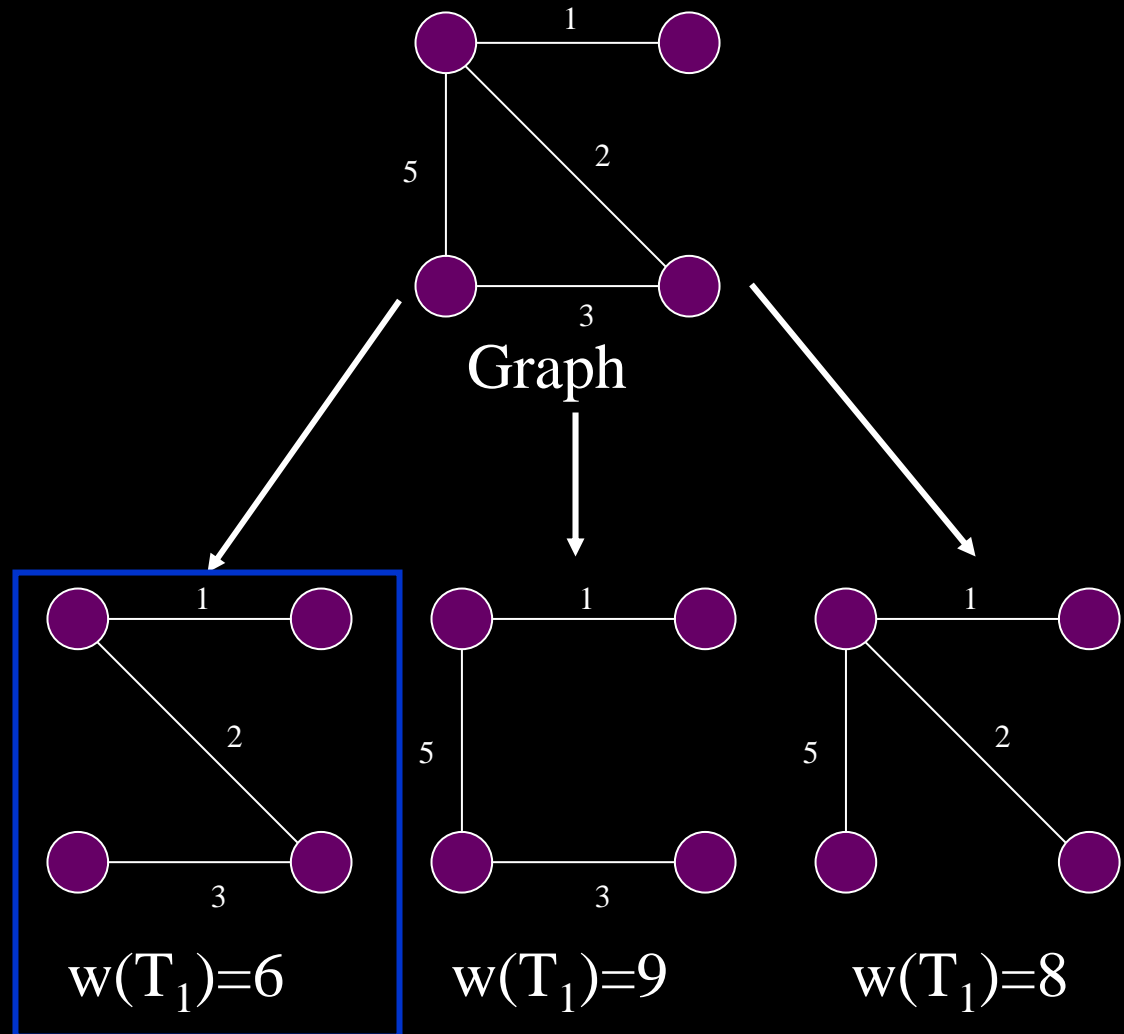


# Minimum spanning tree

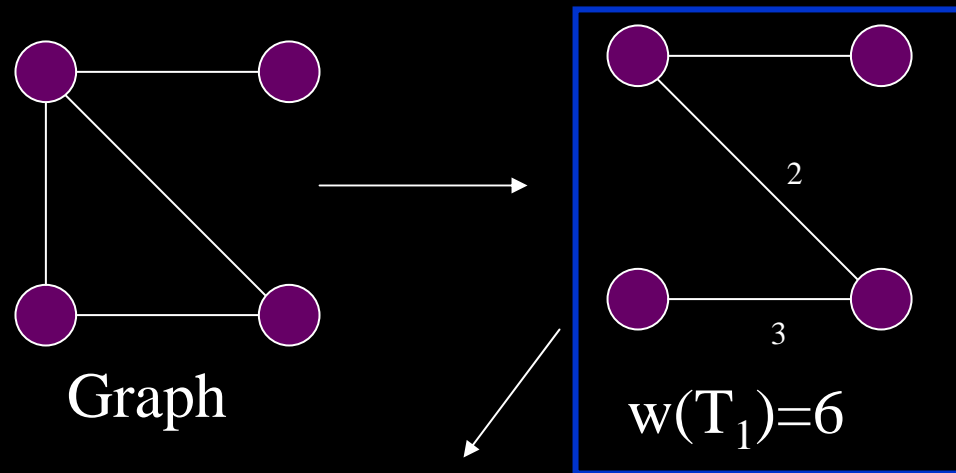
- Suppose we add weights to the graph
- Find the spanning tree with the minimum sum cost



# Minimum spanning Tree



# Minimum spanning Tree



- This is the minimum spanning tree of the graph

# MST applications

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- MST's can be applied to problems like phone networks, computer networks and trail networks

# Sample problem

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- Farmer John has ordered a high speed internet connection and is going to share his connectivity with the other farmers.
- To minimize cost, he wants to minimize the length of optical fiber to connect his farm to all the other farms

# How to solve a MST

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- One way to solve a MST, is to find all the spanning trees of the graph and find the minimum one, but
  - the number of spanning trees grows exponentially with the graph size
  - Generating all spanning trees for a weighted graph is not easy

# Prim's algorithm

- One way to solve a MST is with Prim's algorithm
- Prim's algorithm builds a tree one vertex at a time
- Start by selecting a vertex randomly
- On each iteration, simply add the nearest vertex not in the tree connected to a vertex in the tree
- The algorithm stops when all the graph's vertices has been included in the tree being constructed
- This is a *greedy algorithm*

# Prim's algorithm

## ■ The algorithm

```
let T be a single vertex
while (T has fewer than n vertices)
{
    find the smallest edge connecting a vertex
        not in the tree to a vertex in the tree
    add it to T
}
```



# Prim's algorithm

- The algorithm with more detail:

```
1. Initialize MST to vertex 0.
2. priority[0] = 0
3. For all other vertices, set priority[i] = infinity
4. Initialize prioritySet to all vertices;
5. while prioritySet.notEmpty()
6.     v = remove minimal-priority vertex from
           prioritySet;
7.     for each neighbor u of v
8.         // Explore the edge.
9.         w = weight of edge (v, u)
10.        if w < priority[u]
11.            priority[u] = w
12.        endif
13.    endfor
14. endwhile
```

Running time:  $O(n^2)$

# Prim's algorithm

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- $O(V^2)$  is too slow when finding the MST of a very large graph
- Some data structures can be used to speed it up
- Use a heap to remember, for each vertex, the smallest edge connecting  $T$  with that vertex.

# Prim's algorithm (heap)

- Prim's algorithm with a heap:

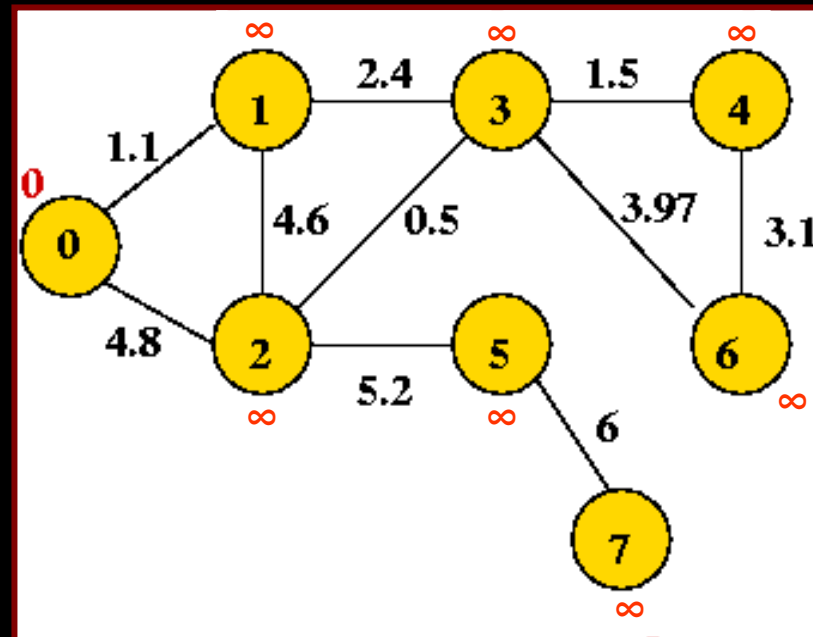
```
make a heap of values (vertex,edge,weight(edge))
  initially (v,-,infinity) for each vertex
let T be a single vertex x
for each edge f=(u,v)
  add (u,f,weight(f)) to heap

while (T has fewer than n vertices)
  let (v,e,weight(e)) be the edge with the
    smallest weight on the heap
  remove (v,e,weight(e)) from the heap
  add v and e to T
  for each edge f=(u,v)
    if u is not already in T
      find value (u,g,weight(g)) in heap
      if weight(f) < weight(g)
        replace (u,g,weight(g)) with
          (u,f,weight(f))
```

Running time:  $O(m + n \log n)$

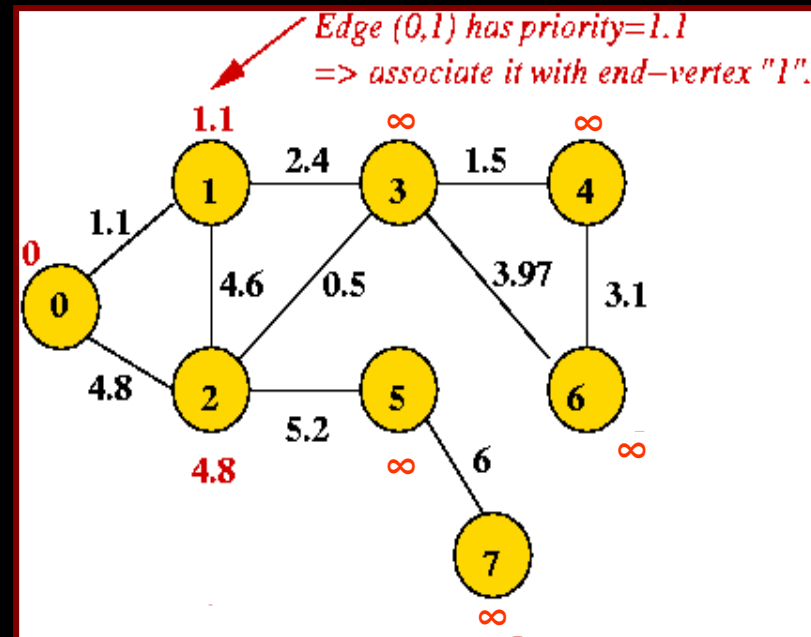
# Prim's algorithm demonstration

- Initially, place vertex 0 in the MST and set the "priority" of each vertex to infinity.



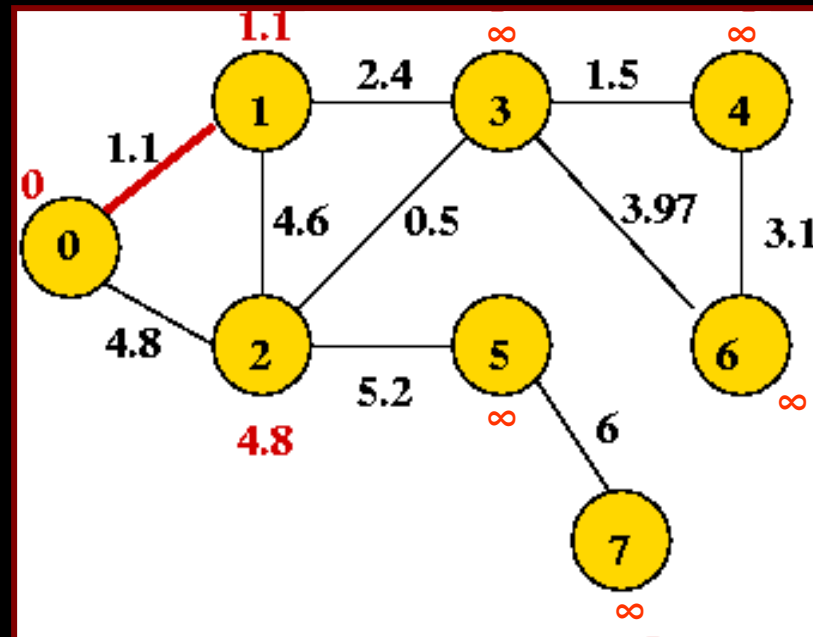
# Prim's algorithm demonstration

- Explore edges from current MST: (0, 1) and (0, 2)



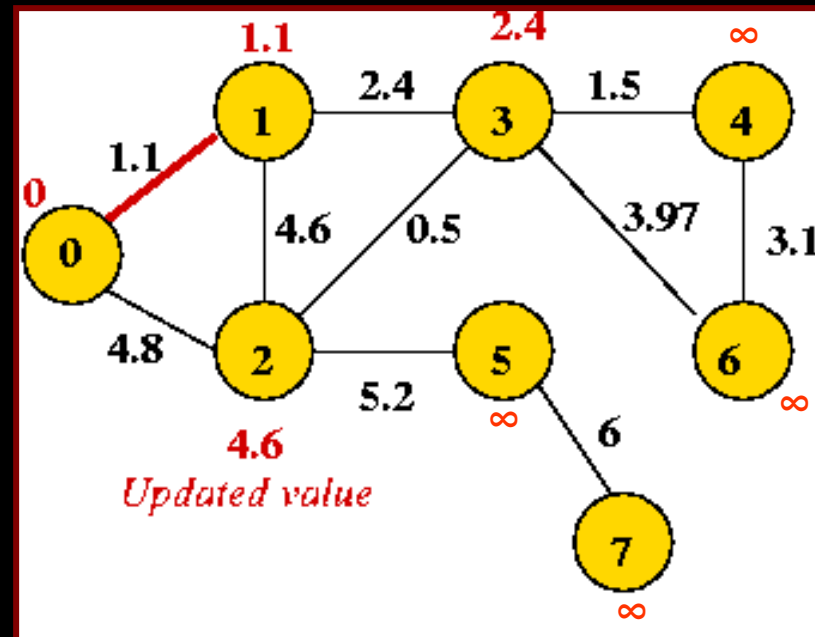
# Prim's algorithm demonstration

- Pick lowest-weight edge (0, 1) to add  $\Rightarrow$  same as selecting lowest-priority vertex (vertex 1)



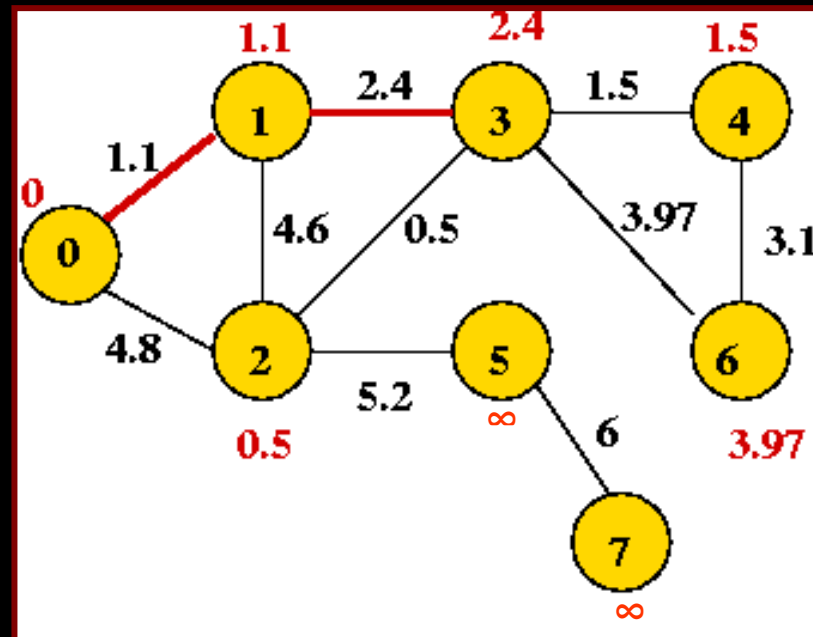
# Prim's algorithm demonstration

- Explore edges from newly-added vertex: (1,3), (1,2)



# Prim's algorithm demonstration

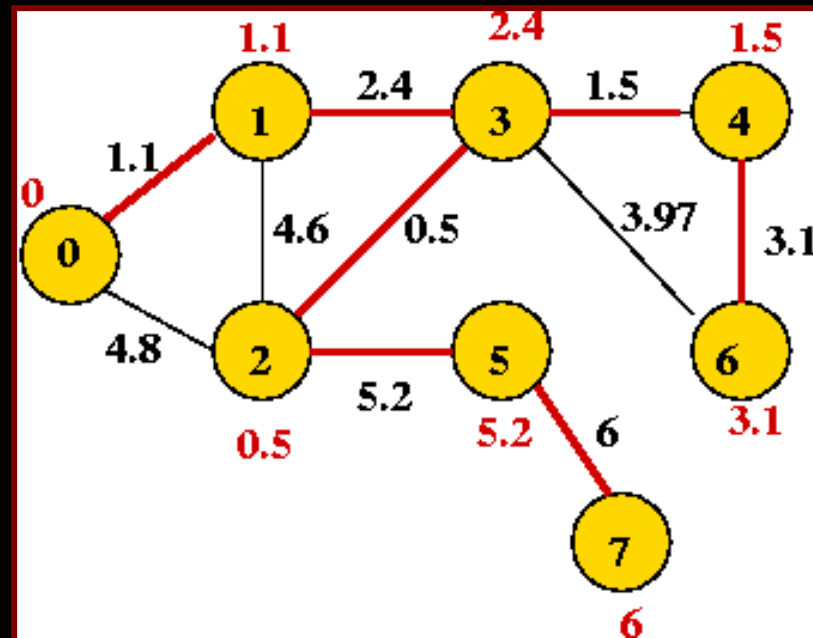
- Pick vertex with lowest priority (vertex 3) and explore its edges:





# Prim's algorithm demonstration

- Continuing, we add vertices 2, 4, 6, 5 and 7:



# Kruskal's algorithm

- Another way to solve a MST is with Kruskal's algorithm
- Kruskal is easier to code and easier to understand
- This is a *greedy algorithm*
- Basics of algorithm:
  - ◆ Sort edges in order of increasing weight.
  - ◆ Process edges in sort-order.
  - ◆ For each edge, add it to the MST if it does not cause a cycle.

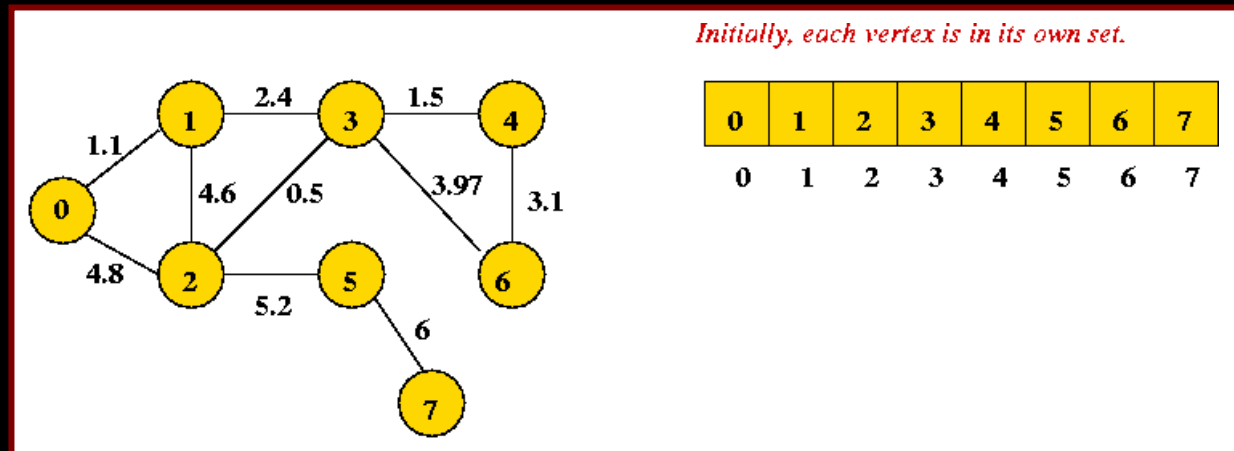
# Kruskal's algorithm

- More advanced algorithm:
  1. Initialize MST to be empty;
  2. Place each vertex in its own set;
  3. Sort edges of  $G$  in increasing-order;
  4. **for** each edge  $e = (u,v)$  in order
  5.     **if**  $u$  and  $v$  are not in the same set
  6.         Add  $e$  to MST;
  7.         Compute the union of the two sets;
  8.     **endif**
  9. **endfor**
  10. **return** MST

Running time:  $O(m \log m)$

# Kruskal's algorithm example

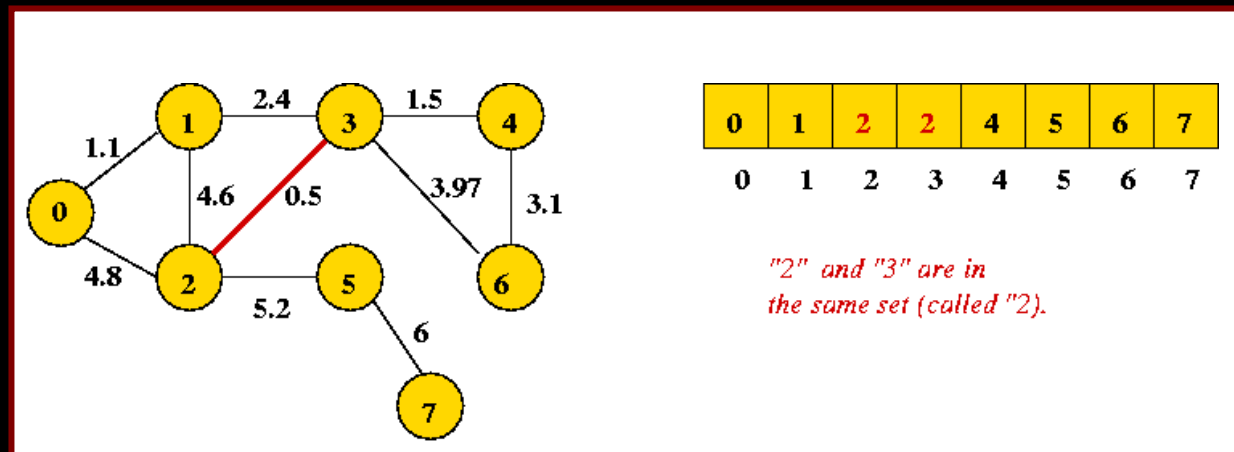
- Initially:



- Sort order of edges: (2, 3), (0, 1), (3, 4), (1, 3), (4, 6), (3, 6), (1, 2), (0, 2), (2, 5), (5, 7)

# Kruskal's algorithm example

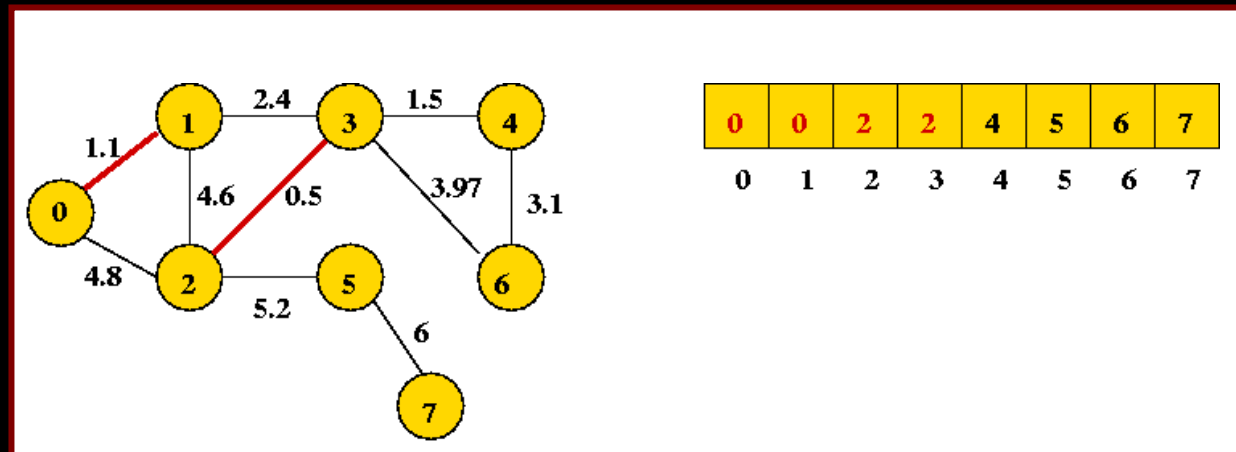
- First edge to add joins "2" and "3" (no cycle):



- Sort order of edges: (2, 3), (0, 1), (3, 4), (1, 3), (4, 6), (3, 6), (1, 2), (0, 2), (2, 5), (5, 7)

# Kruskal's algorithm example

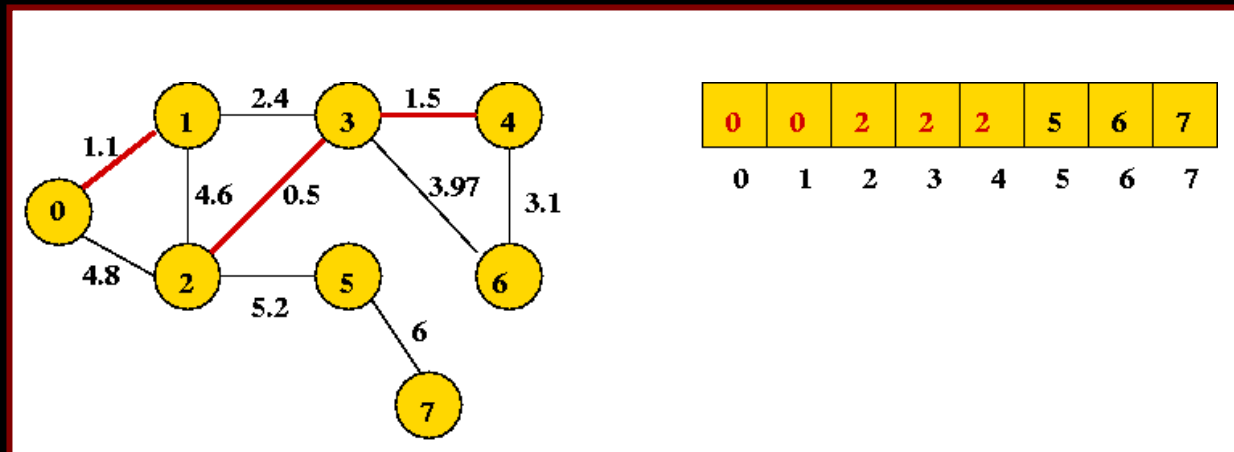
- Next edge in sort order: (0, 1):



- Sort order of edges: ~~(2, 3)~~, (0, 1), (3, 4), (1, 3), (4, 6), (3, 6), (1, 2), (0, 2), (2, 5), (5, 7)

# Kruskal's algorithm example

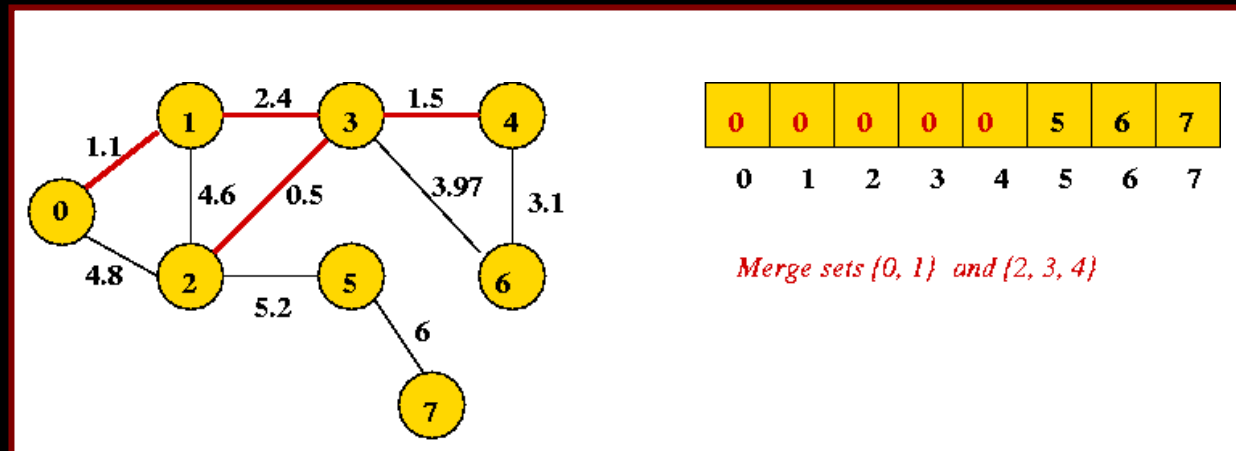
- Next edge in sort order: (3, 4):



- Sort order of edges: ~~(2, 3)~~, ~~(0, 1)~~, (3, 4), (1, 3), (4, 6), (3, 6), (1, 2), (0, 2), (2, 5), (5, 7)

# Kruskal's algorithm example

- Next edge in sort order: (1, 3): merges two sets (union)

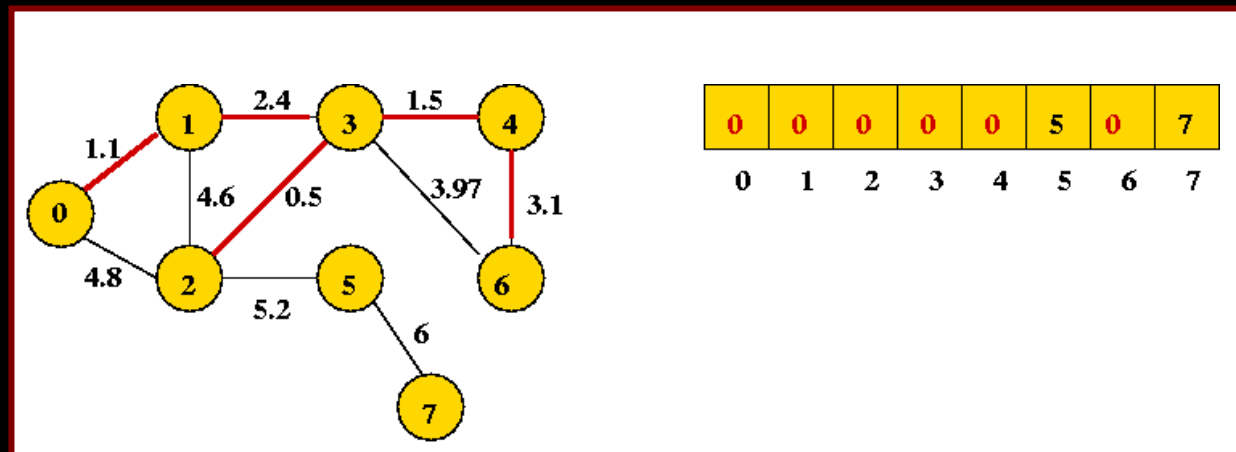


- Sort order of edges: ~~(2, 3)~~, ~~(0, 1)~~, ~~(3, 4)~~, (1, 3), (4, 6), (3, 6), (1, 2), (0, 2), (2, 5), (5, 7)



# Kruskal's algorithm example

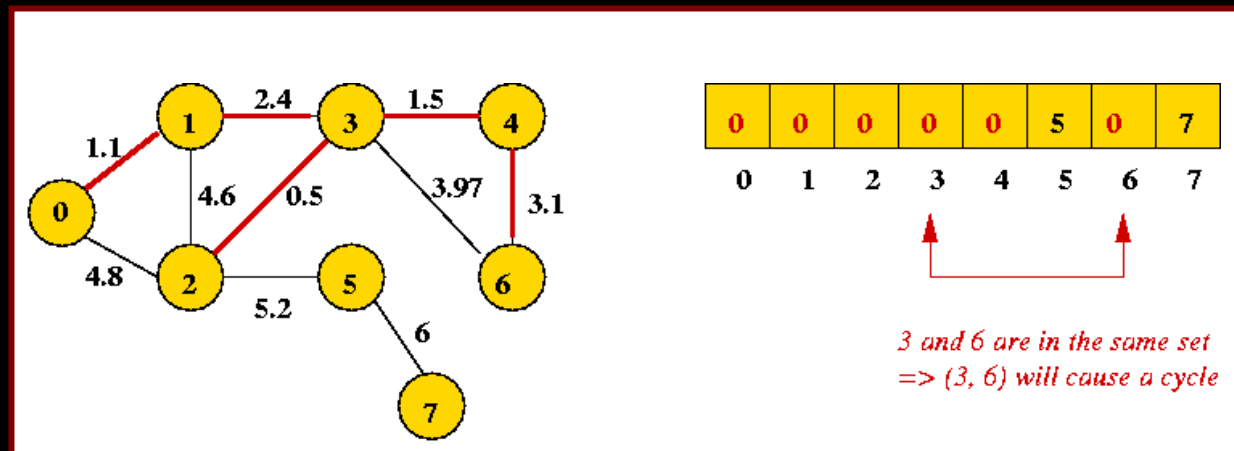
- Next edge in sort order: (4, 6):



- Sort order of edges: ~~(2, 3)~~, ~~(0, 1)~~, ~~(3, 4)~~, ~~(1, 3)~~, (4, 6), (3, 6), (1, 2), (0, 2), (2, 5), (5, 7)

# Kruskal's algorithm example

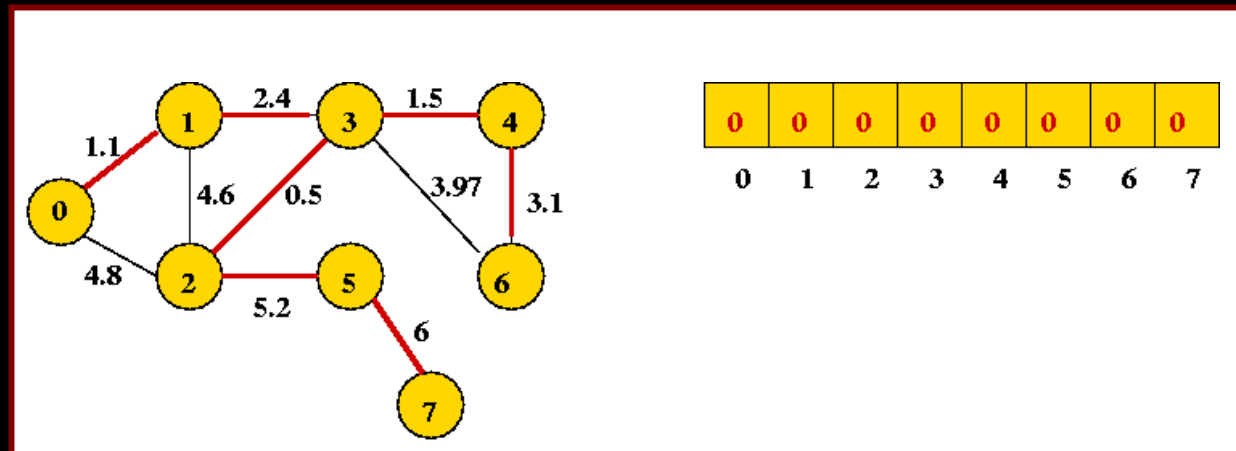
- Next edge in sort order: (3, 6): cannot be added



- Next two edges also cannot be added: (0, 2) and (1, 2).
- Sort order of edges: ~~(2, 3)~~, ~~(0, 1)~~, ~~(3, 4)~~, ~~(1, 3)~~, ~~(4, 6)~~, (3, 6), (1, 2), (0, 2), (2, 5), (5, 7)

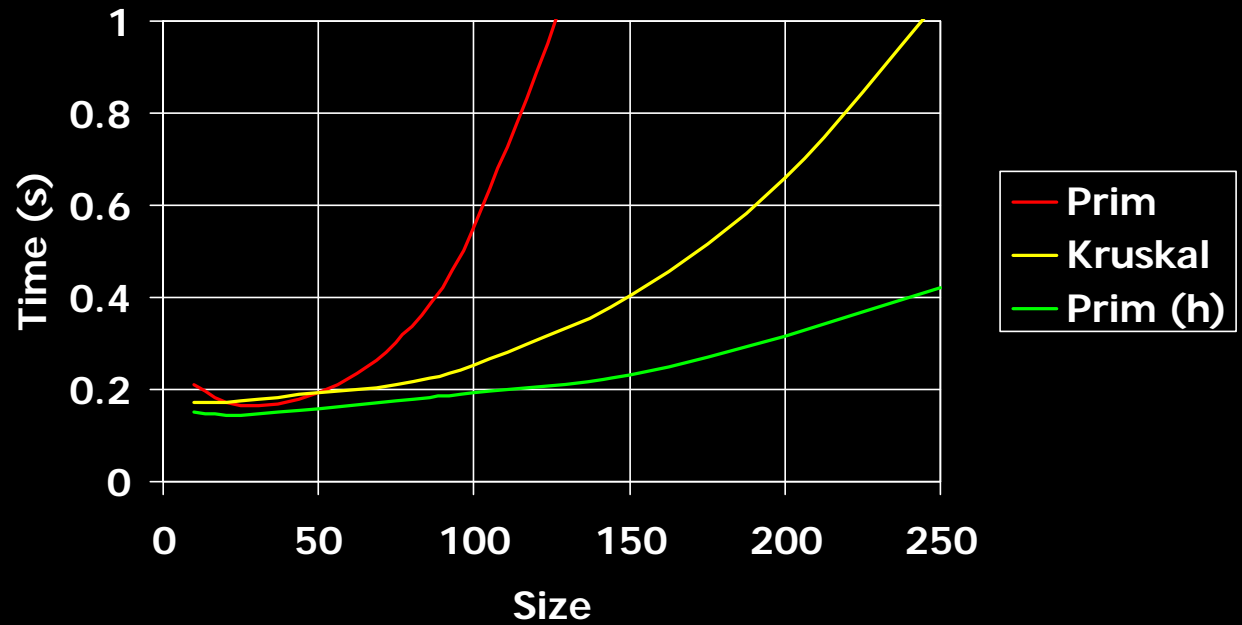
# Kruskal's algorithm example

- Finally, add (2, 5) and (5, 7):



- Sort order of edges: ~~(2, 3)~~, ~~(0, 1)~~, ~~(3, 4)~~, ~~(1, 3)~~, ~~(4, 6)~~, ~~(3, 6)~~, ~~(1, 2)~~, ~~(0, 2)~~, ~~(2, 5)~~, ~~(5, 7)~~

# Time comparison



# Comparison

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- Prim with a heap is faster than Kruskal, but Kruskal is easier to code.
- Code both and choose the one you prefer.

# Building Roads

(USACO Silver Dec. '07 competition)

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## Building Roads

- Farmer John had acquired several new farms!
- He wants to connect the farms with roads so that he can travel from any farm to any other farm via a sequence of roads
- Roads already connect some of the farms.

# Building Roads

(USACO Silver Dec. '07 competition)

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- This can be done by using any algorithm to find a MST.
- The edge weights are the Euclidean distances between the farms
- The easiest option is to use the  $O(n^2)$  version of Prim's algorithm.